

DOCKET NO.: LUTR-0191-02-161P2

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

David Cottongim, et al.

Application No.: 10/706,677

Filing Date: November 12, 2003

For: THERMAL PROTECTION FOR LAMP BALLASTS

Confirmation No.: 8319

Group Art Unit: 2821

Examiner: Vo, Tuyet Thi

DATE OF DEPOSIT: 5/4/05

I HEREBY CERTIFY THAT THIS PAPER IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL, POSTAGE PREPAID, ON THE DATE INDICATED ABOVE AND IS ADDRESSED TO THE UNITED STATES PATENT AND TRADEMARK OFFICE, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450.

TYPED NAME: Jerome G. Schaefer  
REGISTRATION NO.: 50,800

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 CFR § 1.56 and in accordance with 37 CFR §§ 1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 CFR § 1.56(b).

☐ In accordance with § 1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified application, within three months of the date of entry into the national stage of

the above identified application as set forth in § 1.491, before the mailing date of a first Office Action on the merits of the above-identified application, or before the mailing date of a first Office Action after the filing of request for continued examination under § 1.114, no additional fee is required.

- ☐ In accordance with § 1.97(c), this Information Disclosure Statement is being filed after the period set forth in § 1.97(b) above but before the mailing date of either a Final Action under § 1.116 or a Notice of Allowance under § 1.311, or before an action that otherwise closes prosecution in the application, therefore:

- ☐ Certification in Accordance with § 1.97(e) is attached; or
- ☐ The fee of **\$180.00** as set forth in § 1.17(p) is attached.

- ☒ In accordance with § 1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under § 1.113 or a Notice of Allowance under § 1.311 but before, or simultaneously with, the payment of the Issue Fee, therefore included are: Certification in Accordance with § 1.97(e); and the submission fee of **\$180.00** as set forth in § 1.17(p).

- ☒ Copies of reference numbers **12 thru 14** listed on the attached Form PTO-1449 are enclosed herewith.

- ☒ Copies of reference numbers **6 thru 11** on the attached Form PTO 1449 are not required to be submitted pursuant to the waiver of 37 CFR § 1.98(a)(2)(i).

- ☐ Copies of references - are not being submitted because they were previously cited by or submitted to the U.S. Patent and Trademark Office in patent application number , filed for which a claim for priority under 35 U.S.C. § 120 has been made in the instant application.

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**PATENT**

☐ The relevance of those listed references which are not in the English language is as follows:

English language abstracts have been provided for reference(s) **12 thru 14** which are not in the English language.

Enclosed is a copy of the PCT International Search Report dated **April 5, 2005**, which indicates the reference(s) to be relevant.

Please charge any deficiency or credit any overpayment to Deposit Account No. 23-3050. This form is submitted in duplicate.

Date: 5/4/05

  
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Dear Sir:

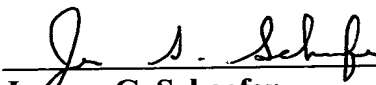
CERTIFICATION UNDER 37 CFR § 1.97(e)

In accordance with 37 CFR § 1.97(e), certification is hereby made that:

- ☒ Each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

- ☐ No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement.

Date: 5/4/05

  
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<b>Form PTO-1449 Modified</b>  List of Patent and Publications Cited by Applicant (Use several sheets if necessary)  U.S. Department of Commerce Patent and Trademark Office	Docket No. <b>LUTR-0191/02-161P2</b>	Application No. <b>10/706,677</b>
	Applicant <b>David Cottongim, et al.</b>	
	Filing Date <b>November 12, 2003</b>	Group <b>2821</b>
	Confirmation No. <b>8319</b>	

**U. S. PATENT DOCUMENTS**

Examiner Initial		Document No.	Date	Name	Class	Subclass
	6	4,800,974	01/31/89	Wand, et al.	180	79.1
	7	5,869,969	02/09/99	Cividino, et al.	324	431
	8	6,198,234 B1	03/06/01	Henry	315	291
	9	6,452,344 B1	09/17/02	MacAdam, et al.	315	307
	10	6,621,239 B1	09/16/03	Belliveau	315	312
	11	2003/0031037 A1	02/13/03	Piaskowski	363	125

**FOREIGN PATENT DOCUMENTS**

Examiner Initial		Document No.	Date	Country	Translation	
					YES	NO
	12	DE 100 13 041 A1	09/27/01	Germany	X(Abstract)	
	13	DE 195 36 142 A1	03/27/97	Germany	X(Abstract)	
	14	DE 198 05 801 A1	08/19/99	Germany	X(Abstract)	

<b>EXAMINER</b>	<b>DATE CONSIDERED</b>
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